



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: A. Lee et al.  
Serial No.: 09/784,913  
Filed: February 16, 2001  
Confirmation No. 4433

Docket No. YOR920010157US1  
Group Art Unit: 2673  
Dated: May 23, 2001

For: System for Interacting With Participants at a Web Site Through an Interactive Visual Proxy

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: APPLICATION DIVISION, SPECIAL HANDLING UNIT

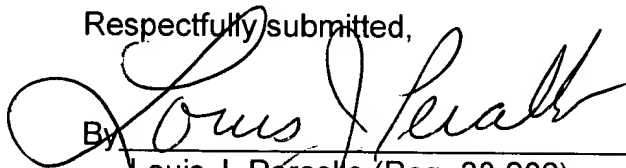
**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Sir:

Submitted herewith is a Declaration, properly signed by the Applicants referencing application Serial No. 09/784,913 as originally filed on February 16, 2001. Accordingly, the above-identified application is now complete.

Please charge Deposit Account No. 09-0468 in the amount of \$130.00 for the surcharge for filing the Declaration on a date later than the filing date of the application.

Respectfully submitted,

By   
Louis J. Percello (Reg. 33,206)  
Attorney for Applicants  
914-945-3145

IBM Corporation  
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sector #

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(A)**

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of nonprovisional Application under 37 CFR 1.53(b)  
Response to Notice to File Missing Parts of Nonprovisional Application  
Declaration and Power of Attorney for Patent Application  
Recordation Form Cover Sheet  
Assignment  
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on May 23, 2001

Margaret McCabe

  
(Signature of person mailing paper)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/784,913	02/16/2001	Alison Lee	YOR920010157US1

CONFIRMATION NO. 4433

## FORMALITIES LETTER



\*OC000000005943854\*

IBM CORPORATION  
INTELLECTUAL PROPERTY LAW DEPT.  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

Date Mailed: 04/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

05/29/2001 ETULU1 00000006 090468 09784913

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE